



Practitioner's Docket No. 48240-CPA (70840)  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Y. Mastsushima, et al.  
Application No.: 09/045,385 Group No.: 2871  
Filed: March 20, 1998 Examiner: Parker, K.  
For: EASY-TO-MANUFACTURE LIQUID CRYSTAL DISPLAY DEVICE WITH  
LIGHT SHIELDING FRAME LAYER (AS AMENDED)

**Mail Stop: Appeal Brief - Patents**  
**Commissioner for Patents**  
**P.O Box 1450**  
**Alexandria, VA 22313-1450**

**NOTICE OF APPEAL FROM THE PRIMARY EXAMINER  
TO THE BOARD OF PATENT APPEALS AND INTERFERENCES  
(37 C.F.R. SECTION 1.191)**

*NOTE: An appeal may be based on one rejection in a prior application and one rejection in a continuing application. 62 Fed. Reg. 53,131, at 53,167; 1203 O.G. 63, at 93 (Oct. 10, 1997).*

*NOTE: There is no requirement for a notice of appeal to: (1) be signed; or (2) identify the appealed claims. 62 Fed. Reg. 53,131, at 53,167; 1203 O.G. 63, at 94 (Oct. 10, 1997).*

Applicant hereby appeals to the Board from the decision of the Primary Examiner, mailed  
April 11, 2003, finally rejecting claims 3-5, 7 and 18-220.

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

☒ deposited with the United States Postal Service  
with sufficient postage as first class mail in an  
envelope addressed to the Mail Stop: Appeal  
Brief-Patents, Commissioner for Patents, P.O Box  
1450, Alexandria, VA 22313-1450.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and  
Trademark Office (703) \_\_\_\_-\_\_\_\_.

Date: September 10, 2003

Kathryn A. Grindrod  
Signature

Kathryn A. Grindrod  
(type or print name of person certifying)

The item(s) checked below are appropriate:

**1. STATUS OF APPLICANT**

This application is on behalf of

☒ other than a small entity.

☐ a small entity.

A statement:

☐ is attached.

☐ was already filed on \_\_\_\_\_.

**2. FEE FOR FILING NOTICE OF APPEAL**

Pursuant to 37 C.F.R. Section 1.17(b), the fee for filing the Appeal Brief is:

☐ small entity \$160.00

☒ other than a small entity \$320.00

Notice of Appeal fee due ~~\$320.00~~

**3. EXTENSION OF TERM**

*NOTE: The time periods set forth in 37 C.F.R. 1.191 are subject to the provision of Section 1.136 for patent applications. 37 C.F.R. 1.191(d). (But see 37 C.F.R. 1.645 for extension of time in interference proceedings and 37 C.F.R. 1.550(c) for extension of time in reexamination proceedings).*

*(complete (a) or (b), as applicable)*

The proceedings herein are for a patent application and the provisions of 37 C.F.R. Section 1.136 apply.

(a) ☐ Applicant petitions for an extension of time under 37 C.F.R. Section 1.136 (fees: 37 C.F.R. Section 1.17(a)(1)-(4)) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 410.00	\$205.00
<input type="checkbox"/> three months	\$ 930.00	\$465.00
<input type="checkbox"/> four months	\$1,450.00	\$725.00

Fee \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

*(check and complete the next item, if applicable)*

- (a)    ☒    An extension for two (2) months has already been secured, and the fee paid therefor of \$ 410.00 is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request ~~\$0-~~

or

- (b)    ☐    Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### 4. TOTAL FEE DUE

The total fee due is:

Notice of Appeal fee \$ 320.00

Extension fee (if any) \$ \_\_\_\_\_

**TOTAL FEE DUE \$ 320.00**

#### 5. FEE PAYMENT

☒    Attached is a check in the sum of \$320.00.

☐    Charge Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_.

A duplicate of this transmittal is attached.

#### 6. FEE DEFICIENCY

*NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in resuming the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O.G 31-33.*

[ X ] If any additional extension and/or fee is required, this is a request therefor and to charge Account No. 04-1105.

AND/OR

[ X ] If any additional fee for claims is required, charge Account No. 04-1105.

Date: September 10, 2003

David A. Tucker  
SIGNATURE OF PRACTITIONER

Reg. No. 27,840

David A. Tucker  
(type or print name of practitioner)  
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